

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

CHANGE IN SMALL ENTITY STATUS

Docket Number:
11639/1

Application Number
09/213,834

Filing Date
December 17, 1998

Examiner
S. Siu

Art Unit
1631

Title
IMPROVED METHODS FOR INSERTION OF
NUCLEIC ACIDS INTO CIRCULAR VECTORS

Inventor(s)
Yuri ROMANTCHIKOV

Assistant Commissioner
for Patents
Washington D.C. 20231

Sir:

RECEIVED
MAR 1 2001
OFFICE OF PETITIONS

In accordance with 37 C.F.R. §1.28(d), the United States Patent and Trademark Office is hereby requested to change the status of the above-identified patent application from a small entity to a large entity as of April 14, 2000.

The applicant has only recently become aware of the error. The error occurred in good faith.

To date, the deficiency in the erroneously paid fees are as follows:

| | Fee <u>Paid</u> | Current <u>Fee</u> | Deficiency <u>Owed</u> |
|-----------------------|--------------------|-----------------------|---------------------------|
| Three-Month Extension | \$ 435.00 | \$ 890.00 | \$ 455.00 |

Applicant is therefore submitting payment of the \$455.00 deficiency between the fees paid as a small entity and the fees presently due as a large entity.

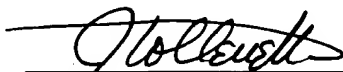
The Commissioner is authorized to charge Deposit Account No. 11-0600 in the amount of **\$455.00**. The Commissioner is also authorized to charge any additional fees

Adjustment date: 03/01/2001 SLUANG1
09/19/2000 AZERGAW1 00000053 110600 09213834
01 FC:217 435.00 CR

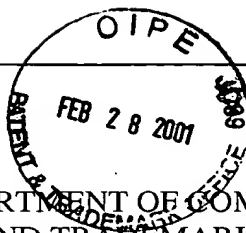
03/01/2001 SLUANG1 00000002 09213834
03 FC:117 890.00 CH

or credit any overpayment in connection with this communication to Deposit Account No. 11-0600. A copy of this letter is enclosed for charging purposes.

Dated: Feb. 28, 2001

By: 
Judith L. Toffenetti
Reg. No. 39,048

KENYON & KENYON
1500 K Street, N.W.
Washington, D.C. 20005
(202) 220-4200 (telephone)
(202) 220-4201 (facsimile)



U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

**REQUEST FOR CORRECTED FILING
RECEIPT**

Docket Number:
11639/1

Application Number
09/213,834

Filing Date
December 17, 1998

Examiner
S. Siu

Art Unit
1631

Title
IMPROVED METHODS FOR INSERTION OF
NUCLEIC ACIDS INTO CIRCULAR VECTORS

Inventor(s)
Yuri ROMANTCHIKOV

Assistant Commissioner
for Patents
Washington D.C. 20231

Sir:

The Applicant respectfully requests issuance of a corrected Filing Receipt for the above-identified application indicating the following corrections:

- 1) correct the title to read -Improved Methods for Insertion of Nucleic Acids into Circular Vectors-- instead of "Methods for Insertion of Nucleic Acids into Circular Vectors".
- 2) change the Attorney Docket Number to the following:
-- 11639/1 --.

A copy of the Filing Receipt with the change shown in red is attached.

Dated:

Feb. 28, 2001

By:

Judith L. Toffenetti
Reg. No. 39,048

KENYON & KENYON
1500 K Street, N.W.
Washington, D.C. 20005
(202) 220-4200 (telephone)
(202) 220-4201 (facsimile)

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTORNEY DOCKET NO. | DRWGS | TOT CL | IND CL |
|--------------------|-------------|--------------|---------------|-------------------------|-------|--------|--------|
| 09/213,834 | 12/17/98 | 1646 | \$1,212.00 | 99999/400400 | 8 | 72 | 9 |

11639/1

KENYON & KENYON
ONE BROADWAY
NEW YORK NY 10004

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

YURI (IOURI) ROMANTCHIKOV, SOMERSET, NJ.

Improved FOREIGN FILING LICENSE GRANTED 01/29/99

* SMALL ENTITY *

TITLE

METHODS FOR INSERTION OF NUCLEIC ACIDS INTO CIRCULAR VECTORS

PRELIMINARY CLASS: 435

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ONE BROADWAY
NEW YORK, N.Y.


FEB 3 4 29 PM '99

DATA ENTRY BY: BELL, DOROTHY

TEAM: 04 DATE: 01/29/99

(see reverse)

DAC \$ 130
(BOX 800)

| | | | | | | | |
|--|---|---|---|---|-----------------------|-------------------------------|--|
| AMENDMENT TRANSMITTAL LETTER FORM PTO-1083 | | | Attorney Docket Number: 11639/1 | | | | |
| Application Number 09/213,834 | | Filing Date December 17, 1998 | | Examiner S. Siu | | Group Art Unit 1631 | |
| Invention Title Improved Methods for Insertion of Nucleic Acids into Circular Vectors | | | Applicant(s) Y. ROMANTCHIKOV | | | | |
| Address to: Assistant Commissioner for Patents Washington D.C. 20231 | | | | | | | |
| Transmitted herewith is a Response Under 37 C.F.R. §§1.111 | | | | | | | |
| 1. The filing fee has been calculated as shown below: | | | | | | | |
| RECEIVED MAR 1 2001 OFFICE OF PETITIONS | | | | | | | |
| | CLAIMS REMAINING AFTER AMENDMENT | | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT NUMBER EXTRA | RATE (\$) | FEE (\$) | |
| TOTAL CLAIMS | 88 | minus | 86 | 2 | 18.00 | 36.00 | |
| INDEPENDENT CLAIMS | 24 | minus | 22 | 2 | 80.00 | 160.00 | |
| MULTIPLE DEPENDENT CLAIM ADDED | | | | | 260.00 | 0.00 | |
| | | | | | TOTAL | \$196.00 | |
| If applicant is a small entity under 37 C.F.R. § 1.9 and § 1.27, then divide total fee by 2, and enter amount here. | | | | | SMALL ENTITY TOTAL | \$0.00 | |
| 2. The Commissioner is hereby authorized to charge any additional fees to Kenyon & Kenyon deposit account number 11-0600 . | | | | | | | |
| 3. The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to the deposit account of Kenyon & Kenyon deposit account number 11-0600 . A duplicate copy of this sheet is enclosed. | | | | | | | |
| a. Any additional filing fees required under 37 C.F.R. § 1.16. | | | | | | | |
| b. Any patent application processing fees under 37 C.F.R. § 1.17. | | | | | | | |
| Dated: <u>Feb 28, 2001</u> | | | |  Judith Toffenetti, Ph.D. Reg. No. 39,048 | | | |
| KENYON & KENYON 1500 K St., N.W. Suite 200 Washington, DC 20005 (202) 220-4200 (phone) (202) 220-4201 (fax) | | | | | | | |